



O'Gorman

1653
seq. w/11-

PATENT
Attorney Docket No. SALK1790-6
(088802-3457)

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Date of Deposit: July 19, 2002

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Stephen E. Reiter

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Wahl and O'Gorman

Serial No.: 10/086,542

Filing Date: February 28, 2002

For: FLP-MEDIATED GENE MODIFICATION
IN MAMMALIAN CELLS, AND
COMPOSITIONS AND CELLS USEFUL
THEREFOR

Examiner: Unassigned

Group Art Unit: 1653

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Dear Sir:

Enclosed please find the following:

- ☒ Request for Transfer of Sequence Disclosure
- ☒ Copy of Notice to Comply With Requirements For Patent Applications
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures
- ☒ Preliminary Amendment
- ☒ Paper Copy of Sequence Listing
- ☒ Return postcard

In re Application of: Wahl and O'Gorman
Application No.: 10/086,542
Filing Date: February 28, 2002
Page 2 of 2

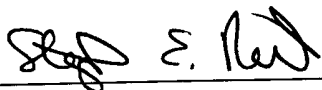
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No fee is deemed necessary in connection with this paper. However, if any fee is required, the Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this transmittal, or to credit any overpayment, to Deposit Account No. 50-0872.

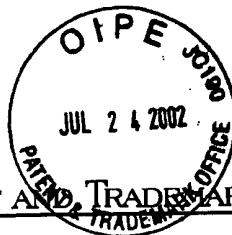
Respectfully submitted,

Date: July 19, 2002

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|--------------------------|
| 10/086,542 | 02/28/2002 | Geoffrey M. Wahl | SALK1790-6 (088802-3457) |

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CONFIRMATION NO. 2411

FORMALITIES LETTER



OC00000008309774

Date Mailed: 06/19/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821 - 1.825. The application must be in sequence compliance before examination on the merits.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R. §§ 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extension of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to: The Office Of Initial Patent Examination.

See the attachment.

Applicant Must Provide as part of the response:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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